

# 2-Factors and Forbidden Subgraphs

Ronald J. Gould \*      Emily A. Hynds  
Emory University      Samford University  
Atlanta GA 30322      Birmingham AL 35229

April 23, 2001

## Abstract

Every 2-factor of a graph  $G$  consists of a spanning collection of vertex disjoint cycles. In particular, a hamiltonian cycle is an example of a 2-factor consisting of precisely one cycle. A characterization has been given of all pairs of forbidden subgraphs that imply a 2-connected graph of order  $n \geq 10$  is hamiltonian. We generalize this idea by examining some pairs of forbidden subgraphs that imply a 2-connected graph of order  $n > 3k + 15$  contains a 2-factor consisting of  $k$  disjoint cycles.

## 1 Introduction

The use of forbidden subgraphs to obtain classes of graphs possessing special properties has long been studied. For instance, a characterization has been given of all pairs of forbidden subgraphs that imply a 2-connected graph of order  $n \geq 10$  is hamiltonian [4]. We generalize this idea by examining some pairs of forbidden subgraphs that imply a 2-connected graph of order  $n > 3k + 15$  contains a 2-factor consisting of  $k$  disjoint cycles. This continues a line of investigation generalizing results on hamiltonian graphs to results on 2-factors. In each case, the conditions sufficient to imply the graph is hamiltonian are actually sufficient to imply it contains a wide range of 2-factors. See for example, [1] or [3]. All graphs in this paper will be simple finite graphs with vertex set  $V(G)$  and edge set  $E(G)$ . For terms or notation not defined here, see [2].

The graph  $H$  is called a *subgraph* of the graph  $G$  if  $V(H) \subseteq V(G)$  and  $E(H) \subseteq E(G)$ . For a set  $S \subseteq V(G)$ , we define the *subgraph induced by  $S$* , denoted  $\langle S \rangle$ , to be the subgraph of  $G$  with vertex set  $S$  and edge

---

\*Supported by O.N.R. Grant N00014-97-1-0499.

set  $\{uv \in E(G) \mid u, v \in S\}$ . If a graph  $G$  contains no induced subgraph isomorphic to  $H$ , we say  $G$  is  $H$ -free and we call  $H$  a *forbidden subgraph* of  $G$ .

We say a subgraph  $H$  *spans* the graph  $G$  if  $V(H) = V(G)$ . The subgraph  $H$  of  $G$  is said to be a  $\frac{1}{2}$ -factor of  $G$  if  $H$  spans  $G$  and for every  $v \in V(H)$ ,  $\deg_H v = 2$ . A trivial consequence of the definition is that every 2-factor of a graph  $G$  consists of a spanning collection of vertex disjoint cycles. In particular, a hamiltonian cycle is an example of a 2-factor consisting of precisely one cycle.

The following theorem, found in [3], will be useful to us. The result is that in graphs that do not contain the induced subgraph  $K_{1,3}$ , we can always find a collection of disjoint triangles and thus a collection of disjoint cycles.

**Theorem 1** *Let  $G$  be a  $K_{1,3}$ -free graph of order  $n$ , and  $k \geq 2$  an integer. If  $n > 3k + 15$  and  $\delta(G) \geq \max(3, k)$ , then  $G$  contains  $k$  disjoint triangles.*

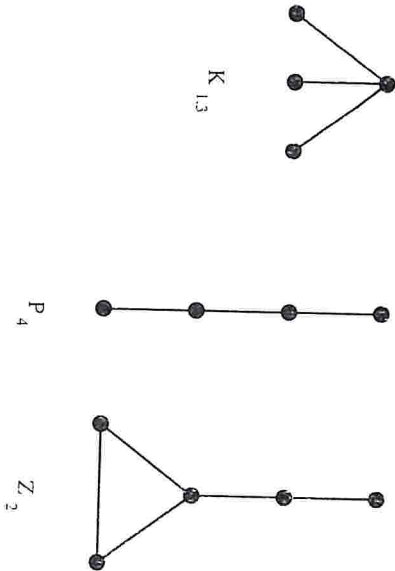


Figure 1: Important forbidden subgraphs.

In Theorem 2 we forbid the graph  $Z_2$  in addition to  $K_{1,3}$  and in Theorem 3 we forbid the graph  $P_4$  in addition to  $K_{1,3}$ . We will show that by forbidding these additional subgraphs in a graph  $G$  we can now always find a range of 2-factors in  $G$ .

## 2 Theorems

**Theorem 2** *If  $G$  is a  $\frac{1}{2}$ -connected,  $K_{1,3}$ -free,  $Z_2$ -free graph of order  $n > 3k + 15$  such that  $\delta(G) \geq \max(3, k)$ , then  $G$  contains a  $\frac{1}{2}$ -factor consisting of  $k$  disjoint cycles.*

**Proof:** We know  $G$  is hamiltonian which gives us the case when  $k = 1$ . We will consider  $k \geq 2$ . By Theorem 1, we know that  $G$  contains  $k$  disjoint triangles and hence  $k$  disjoint cycles. We choose  $k$  such cycles  $\{C_1, \dots, C_k\}$  such that they include a maximum number of vertices of  $G$ . We place an orientation on each of the cycles  $C_i$ ,  $i = 1, \dots, k$ , and for  $w \in V(C_i)$ , we let  $w^-(w^+)$  be the predecessor (successor) of  $w$  on the cycle. Now, suppose that  $\bigcup_{i=1}^k V(C_i) \neq V(G)$ . Then there exists  $x \in V(G) \setminus \bigcup V(C_i)$  and  $v \in V(C_j)$  for some  $i = 1, \dots, k$  such that  $xv \in E(G)$ . Since  $G$  is 2-connected there exists an  $x - v^-$  path that does not contain  $v$ . Let  $P^-$  be the shortest such path. Similarly, let  $P^+$  be the shortest  $x - v^+$  path that does not contain  $v$ . Now, from all such  $x, v, P^-, P^+$ , choose  $x, v$  and  $P \in \{P^-, P^+\}$  such that  $P$  is as short as possible. Clearly we may assume that  $xv^-, xv^+ \notin E(G)$ , else we may extend  $C_i$  through  $x$ , contradicting the maximality of our cycle system. Thus  $\langle \{v, v^-, v^+, x\} \rangle \cong K_{1,3}$ , unless we have  $v^-v^+ \in E(G)$ . We will assume, without loss of generality, that  $P = P^-$  and thus let  $P = vx_1x_2 \dots x_tv^-$ . By our choice of  $P$ ,  $x_i \neq v^+$  for  $i \in \{1, 2, \dots, t\}$ , else we could have chosen a shorter path. Suppose  $x_1 \in V(G) \setminus \bigcup V(C_i)$ . Then  $\langle \{v^-, v^+, v, x, x_1\} \rangle \cong Z_2$ , unless we have at least one of  $x_1v^-, x_1v^+, x_1v^+ \in E(G)$ . If  $x_1v^-$  or  $x_1v^+ \in E(G)$ , then we may extend  $C_i$  through  $x$  and  $x_1$ . If  $x_1v \in E(G)$ , then we contradict our choice of  $P$ . Thus  $x_1 \in V(C_j)$  or  $x_1 \in V(C_j), j \neq i$ .

**Case 1** *Suppose  $x_1 \in V(C_j)$ .*

If  $x_1v^- \in E(C_i)$ , then  $vx_1x_1 \dots v^+v^-v$  extends  $C_i$ . If  $x_1v^+ \in E(C_i)$ , then  $vx_1x_1 \dots v^-v^+v$  extends  $C_i$ . Thus  $x_1^+, x_1^-, v^+, v^- \in V(C_i)$  are all distinct. Furthermore,  $\langle \{x_1, x_1^+, x_1^-, x_1^-\} \rangle \cong K_{1,3}$ , unless we have  $x_1^+x_1^- \in E(G)$ . Now we see that  $\langle \{v^-, v^+, v, x, x_1\} \rangle \cong Z_2$ , unless we have at least one of  $x_1v^-, x_1v^+, x_1v \in E(G)$ . If  $x_1v^- \in E(G)$ , then  $vx_1x_1v^- \dots x_1^+x_1^- \dots v^+v$  extends  $C_i$ . If  $x_1v^+ \in E(G)$ , then  $vx_1x_1v^+ \dots x_1^-x_1^+ \dots v^-v$  extends  $C_i$ . Thus  $x_1v \in E(G)$ . If  $x_1^+v^+ \in E(C_i)$ , then  $vx_1x_1^+x_1^- \dots v^-v^+v$  extends  $C_i$ . Then  $\langle \{x_1, x, v, v^+, v^+\} \rangle \cong Z_2$ , unless we have at least one of  $v^+x_1^+, v^+x_1^-, v^+x_1^+, v^+x_1^- \in E(G)$ . If  $v^+x_1^+ \in E(G)$ , then  $vx_1x_1^+ \dots v^- \dots x_1^-x_1^+ \dots v^+v$  extends  $C_i$ . If  $v^+x_1^- \in E(G)$ , then  $vx_1x_1^- \dots v^-v^+v$  extends  $C_i$ . If  $v^+x_1 \in E(G)$ , then  $vx_1x_1v^+ \dots x_1^-x_1^+ \dots v^-v$  extends  $C_i$ .

If  $v^+x_1^+ \in E(G)$ , then  $vx_1x_1^- \dots v^+x_1^+ \dots v^-v$  extends  $C_i$ . If  $v^+x_1^- \in E(G)$ , then  $vx_1x_1^+ \dots v^-v^+x_1^- \dots v^+v$  extends  $C_i$ . Thus in all cases we extend  $C_i$ , a contradiction, and Case 1 is complete.  $\square$

**Case 2** Suppose  $x_1 \in V(C_j), j \neq i$ .

**Subcase 1** Suppose  $C_j \not\cong K_3$ .

We see that  $\langle \{v, v^-, v^+, x\} \rangle \cong K_{1,3}$ , unless  $v^-v^+ \in E(G)$ , and similarly  $\langle \{x_1, x_1^+, x_1^-, x\} \rangle \cong K_{1,3}$ , unless  $x_1^+x_1^- \in E(G)$ . Then  $\langle \{v^-, v^+, v, x, x_1\} \rangle \cong Z_2$ , unless we have one of  $x_1v^-, x_1v^+, x_1v \in E(G)$ . If  $x_1v^- \in E(G)$  we can extend our cycle system by letting  $C_i' = v^-x_1xv^+ \dots v^-$  and  $C_j' = x_1^-x_1^+ \dots x_1^-$ . So,  $x_1v^- \notin E(G)$  and similarly  $x_1v^+ \notin E(G)$ . Consequently, it must be the case that  $x_1v \in E(G)$ . Then  $\langle \{v^-, v^+, v, x_1, x_1^+\} \rangle \cong Z_2$ , unless we have at least one of  $x_1v^-, x_1v^+, x_1^+v, x_1^+v^-, x_1^+v^+ \in E(G)$ . If  $x_1v^- \in E(G)$ , then let  $C_i' = vx_1v^- \dots v^+v$  and  $C_j' = x_1^+x_1^- \dots x_1^+$ . If  $x_1v^+ \in E(G)$ , then let  $C_i' = vx_1v^+ \dots v^-v$  and  $C_j' = x_1^-x_1^+ \dots x_1^-$ , and we have extended our cycle system. If  $x_1^+v \in E(G)$ , then  $\langle \{v, v^-, x_1^+, x\} \rangle \cong K_{1,3}$ , unless we have at least one of  $x_1^+v^-, x_1^+v^+ \in E(G)$ , then we can easily extend  $C_j$  through  $x$ . Thus we may assume that  $x_1^+v^- \in E(G)$ . Also,  $\{v, v^+, x_1^+, x\} \rangle \cong K_{1,3}$ , unless we have  $x_1^+v^+ \in E(G)$ . Then  $\langle \{v^-, v^+, x_1^+, x_1, x\} \rangle \cong Z_2$  which is a contradiction that arises from assuming that  $x_1^+v \in E(G)$ . So, it must now be the case that either  $x_1^+v^+ \in E(G)$  or  $x_1^+v^- \in E(G)$ . By symmetry we may assume, without loss of generality, that  $x_1^+v^+ \in E(G)$ . As before,  $\langle \{v^-, v^+, v, x_1, x_1^-\} \rangle \cong Z_2$ , unless we have at least one of  $v^-x_1^-, v^+x_1^- \in E(G)$ . If  $v^-x_1^- \in E(G)$ , then let  $C_i' = v^- \dots v^+x_1^+ \dots x_1^-v^-$  and  $C_j' = vx_1v$ , extending the cycle system. Thus  $v^+x_1^- \in E(G)$ . Then  $\langle \{x_1^-, x_1^+, v^+, v, x\} \rangle \cong Z_2$ , unless at least one of  $vx_1^-, vx_1^+ \in E(G)$ . Say, without loss of generality, that  $x_1^-v \in E(G)$ . Then  $\langle \{v, x, v^-, x_1^-\} \rangle \cong K_{1,3}$  which contradicts our assumption that our graph  $G$  is  $K_{1,3}$ -free.  $\square$

**Subcase 2** Suppose  $C_j \cong K_3$ .

We may assume  $C_i \cong K_3$ , or reversing the roles of  $v$  and  $x_1$ , we are back to Subcase 2.1. We know that  $x$  is adjacent to both  $C_i$  and  $C_j$ . We first consider the case that  $x$  is adjacent to another cycle  $C_k$ ,  $k \neq i, j$ . So, there exists  $y \in V(C_k) \cap N(x)$  and  $C_k \cong K_3$ , or we are back to Subcase 2.1. Then  $\langle \{x, y, x_1, y\} \rangle \cong K_{1,3}$ , unless, without loss of generality,  $yx_1 \in E(G)$ . Then  $\langle \{v^-, v^+, v, x_1, x_1^-\} \rangle \cong Z_2$ , unless at least one of  $x_1v^-, x_1v^+, x_1^-v, x_1^-v^-, x_1^-v^+ \in E(G)$ . If  $x_1v^- \in E(G)$ , then either  $\langle \{x_1, v^-, x_1^-, x\} \rangle \cong K_{1,3}$  or we can extend either  $C_i'$  or  $C_j'$  through  $x$ , or  $v^-x_1^- \in E(G)$ . Now,  $\langle \{x_1, v^-, x_1^+, x\} \rangle \cong K_{1,3}$ , unless  $v^-x_1^+ \in E(G)$ .

Then  $\langle \{x_1^+, x_1^-, v^-, v, x\} \rangle \cong Z_2$ , unless we have, without loss of generality,  $vx_1^- \in E(G)$ . Now, either  $\langle \{v, v^+, x, x_1^-\} \rangle \cong K_{1,3}$  or we can extend a cycle through  $x$ , or  $v^+x_1^- \in E(G)$ . Now let  $C_i' = v^-v^+x_1^-x_1^+v^-$  and  $C_j' = vx_1v$ . This is a contradiction to the maximality of our cycle system that arises from the assumption that  $x_1v^- \in E(G)$ . With similar arguments we conclude that  $x_1v^+, vx_1^- \notin E(G)$ . Thus we may say, without loss of generality, that  $x_1v^+ \in E(G)$ . Again,  $\langle \{v^-, v^+, v, x_1, x_1^+\} \rangle \cong Z_2$ , unless we have at least one of  $v^+x_1^+, v^-x_1^+ \in E(G)$ . If  $v^+x_1^+ \in E(G)$ , then let  $C_i' = v^+v^-x_1^+x_1^+v^+$  and  $C_j' = vx_1v$ . Thus  $v^-x_1^+ \in E(G)$ . Then either  $\langle \{x_1^-, x_1^+, v^-, v, x\} \rangle \cong Z_2$  or we can extend a cycle through  $x$ , unless  $vx_1^+ \in E(G)$ . Then  $\langle \{v, x_1^+, v^+, x\} \rangle \cong K_{1,3}$ , and again we are done, unless  $x_1^+v^+ \in E(G)$ . Finally, let  $C_i' = v^-v^+x_1^+x_1^-v^-$  and  $C_j' = vx_1v$ . Thus we have extended our maximum cycle system, contradicting the assumption that  $x$  is adjacent to some cycle  $C_k$ ,  $k \neq i, j$ . Hence, it must be the case that  $x$  is not adjacent to any other cycle. In other words,  $N(x) \cap V(C_i) = \{v, x_1\}$ . Since  $\delta(G) \geq 3$ , then  $x$  must have a neighbor  $y \in V(G) \setminus \cup V(C_i)$ . Then we have  $\langle \{v^-, v^+, v, x, y\} \rangle \cong Z_2$  unless  $yv \in E(G)$ . Similarly,  $yx_1 \in E(G)$ . Now, again because  $\delta(G) \geq 3$ ,  $v^+$  must have a neighbor besides  $v$  and  $v^-$ . This neighbor cannot be  $x$  or  $y$ . Suppose there exists  $w \in N(v^+) \setminus \{v, y, x, v^+, w\}$ . Then  $\langle \{y, x, v, v^+, w\} \rangle \cong Z_2$ , unless  $wv \in E(G)$ . But now we let  $C_i' = vv^-v^+wv$ , which returns us to Subcase 2.1. Consequently,  $N(v^+) \subseteq \cup V(C_i)$ . Suppose first that  $N(v^+) \cap V(C_j) \neq \emptyset$ . Then  $v^+x_1 \in E(G)$ . If not, then, without loss of generality,  $v^+x_1^- \in E(G)$ . But then  $\langle \{y, x, x_1, x_1^-, v^+\} \rangle \cong Z_2$  unless  $v^+x_1 \in E(G)$ . As a result of the fact that  $v^+x_1 \in E(G)$ , we get that  $\langle \{x_1, x, v^+, x_1^+\} \rangle \cong K_{1,3}$  unless  $x_1^+v^+ \in E(G)$  and  $\langle \{x_1, x, v^+, x_1^-\} \rangle \cong K_{1,3}$  unless  $x_1^-v^+ \in E(G)$ . In addition,  $\langle \{y, x, x_1, v^+, v^-\} \rangle \cong Z_2$  unless  $v^-x_1 \in E(G)$ . Then let  $C_i' = v^-vyx_1v^-$  and  $C_j' = v^+x_1^-x_1^+v^+$ , extending our maximum cycle system and contradicting our assumption. Hence, it must be the case that  $N(v^+) \cap V(C_j) = \emptyset$ . So,  $v^+$  must have a neighbor  $w \in V(C_k)$  such that  $k \neq i, j$ . Let  $w^+$  and  $w^-$  be the neighbors of  $w$  on  $C_k$ . Now  $v^+w^+$  and  $v^+w^-$  cannot be edges in  $G$  or we can extend  $C_k$  through  $v^+$  and let  $C_i' = yxv$ . In this way we have included  $x$  and  $y$  in our cycle system losing only  $v^-$ , thus contradicting the assumption of maximality. This forces  $w^-w^+ \in E(G)$  or  $\langle \{w, w^+, w^-, w^+\} \rangle \cong K_{1,3}$ . Similarly,  $v^-w^-$  and  $v^-w^+$  cannot be edges in our graph  $G$ , or we can extend  $C_k$  through both  $v^-$  and  $v^+$  and let  $C_i' = yxv$ , again a contradiction. But this forces  $w^-w^- \in E(G)$  or we have  $\langle \{w^-, w^+, w, v^+, v^-\} \rangle \cong Z_2$ . If  $C_k \not\cong K_3$ , we are returned to Subcase 2.1 by letting  $C_i' = vv^-wv^+$ , so we assume  $C_k \cong K_3$ . Finally we see that  $\langle \{w^+, w^-, w, v^-, v\} \rangle \cong Z_2$  unless  $w^-w^+, wv^+$ , or  $wv \in E(G)$ . The remaining conclusions are drawn from some previous results and the fact that  $x$  has no adjacencies on the cycle  $C_k$ . If  $w^-w^- \in E(G)$ , then  $\langle$



**Subcase 2** If  $N(x) \cap V(C_1) \neq \emptyset$ , then  $C_i \cong K_3$ .

Let  $v, w \in N(x) \cap V(C_1)$ . Recall that  $y \notin \cup V(C_i)$  but that  $xy, yv$ , and  $yw \in E(G)$ . Assume, without loss of generality, that  $v \in V(C_1)$  and  $w \in V(C_2)$ . Thus  $\langle \{v, x, w, w^-\} \rangle \cong P_4$  unless one of  $vw, vw^- \in E(G)$ . If  $vw^- \in E(G)$ , then  $\langle \{v, x, w^-v^+\} \rangle \cong K_{1,3}$  unless  $v^+w^- \in E(G)$  and  $\langle \{x, v, w^-, w^+\} \rangle \cong P_4$  unless  $vw^+ \in E(G)$ . If we let  $C'_1 = xywx$  and  $C'_2 = vw^+w^-v^+v^-v$ , then we have extended our cycle system giving us a contradiction. Therefore, it must be the case that  $vw^- \notin E(G)$  and  $vw \in E(G)$ . In addition, we can show by a similar argument that  $wv^+ \notin E(G)$ . But that means that  $\langle \{v^+, v, w, w^-\} \rangle \cong P_4$  unless  $v^+w^- \in E(G)$ . Now,  $\langle \{v^-, v^+, w^-, w^+\} \rangle \cong P_4$  unless one of  $v^-w^-, v^+w^+, v^-w^+ \in E(G)$ . If  $v^-w^+ \in E(G)$ , we let  $C'_1 = vxxywv$  and  $C'_2 = v^-v^+w^-w^+v^-$ , extending our cycle system and again giving us a contradiction. So either  $v^-w^- \in E(G)$  or  $v^+w^+ \in E(G)$ . Without loss of generality, assume that  $v^-w^- \in E(G)$ . We have shown that  $v^-w^+ \notin E(G)$ . So,  $\langle \{v, v^-, w^-, w^+\} \rangle \cong P_4$  unless  $vw^+ \in E(G)$ . But then we let  $C'_1 = xywx$  and  $C'_2 = vw^+w^-v^+v^-v$ , which again extends our cycle system and gives us a contradiction. So in all cases where  $x$  has an adjacency outside the cycle system, we are able to extend the cycle system which contradicts the maximality of the cycle system.  $\square$

**Case 2** Suppose  $N(x) \cap R = \emptyset$ .

Since  $\delta(G) \geq k$ ,  $x$  must have exactly one neighbor on each of the  $k$  cycles. Let  $v \in N(x) \cap V(C_1)$  and  $w \in N(x) \cap V(C_2)$ . Now since  $\delta(G) \geq 3$  and  $d(x) = k$  we know that  $k \geq 3$ . Thus, if no neighbors of  $x$  are joined by an edge, we have an induced  $K_{1,3}$  centered at  $x$ . We can then assume, without loss of generality, that  $vw \in E(G)$ . Now, if  $v^+w^+ \notin E(G)$  then  $\langle \{v^+, v, w, w^+\} \rangle \cong P_4$  unless one of  $vw^+, ww^+ \in E(G)$ . But then  $\langle \{v, x, w^+, v^+\} \rangle \cong K_{1,3}$  if  $vw^+ \in E(G)$  and  $\langle \{w, x, v^+, w^+\} \rangle \cong K_{1,3}$  if  $ww^+ \in E(G)$ . In each case we get a contradiction and so we conclude that  $v^+w^+ \in E(G)$ . Similarly,  $v^-w^- \in E(G)$ . If  $C_1 \cong K_3$  and  $C_2 \cong K_3$ , then let  $C'_1 = vw^+w^-v^+v^-v$  and  $C'_2 = v^-w^-w^+v^+v^-$ , which extends our cycle system, a contradiction. So, without loss of generality, assume that  $|V(C_1)| \geq 4$ . We see that  $\langle \{x, v, v^+, w^+\} \rangle \cong P_4$  unless  $vw^+ \in E(G)$ . But, we can now let  $C'_1 = v^-v^+ \dots v^-$  and  $C'_2 = xv^+w^+ \dots w^-wx$ , which again extends our cycle system. Thus, we have in all cases contradicted the assumption of maximality of our cycle system and hence, the theorem is proved.  $\square$

## References

[1] S. Brandt, G. Chen, R.J. Faudree, R.J. Gould and L. Lesniak. On the number of cycles in a 2-factor, *J. Graph Theory*, Vol. 24, No. 2 (1997),

165–173.

[2] G. Chartrand and L. Lesniak, *Graphs & Digraphs*, Chapman and Hall, London, 1996.

[3] G. Chen, J.R. Faudree, R.J. Gould and A. Saito, Cycles in 2-factor of claw-free graphs, *Discussiones Mathematicae - Graph Theory*, appear.

[4] R.J. Faudree and R.J. Gould, Characterizing forbidden pairs for hamiltonian properties, *Discrete Math* 173(1997) 45–60.