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2-Factors in Claw-free Graphs

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July 30, 1999

Abstract

We consider the question of the range of the number of cycles possible in a 2-factor of a 2-connected claw-free graph with sufficiently high minimum degree. (By claw-free we mean the graph has no induced $K_{1,3}$.) In particular, we show that for such a graph G of order $n \geq 51$ with $\delta(G) \geq \frac{n-2}{3}$, G contains a 2-factor with exactly k -cycles, for $1 \leq k \leq \frac{n-24}{3}$. We also show that this result is sharp in the sense that if we lower $\delta(G)$, we cannot obtain the full range of values for k .

1 Introduction

The question of determining when a graph contains a 2-factor (a 2-regular spanning subgraph) has long been an important one in graph theory. Many results deal with hamiltonian graphs, that is, graphs G containing a cycle that spans the vertex set $V(G)$. (See [4].) One special class of graphs that has drawn considerable interest are the claw-free graphs. Such graphs contain no induced subgraph isomorphic to the complete bipartite graph $K_{1,3}$.

In particular, the following was shown in [5].

Theorem 1 *If G is a 2-connected $K_{1,3}$ -free graph of order n with $\delta(G) \geq \frac{n-2}{3}$, then G is hamiltonian.*

We can see that this result is sharp by considering the following nonhamiltonian graph G on $n = 3m$ vertices. Let $V(G) = A_1 \cup A_2 \cup A_3$ such that $|A_i| = m$ and $\langle A_i \rangle \cong K_m$ and let $x_i, y_i \in A_i$, $x_i \neq y_i$ for $i = 1, 2, 3$ and so that $\langle x_1, x_2, x_3 \rangle \cong \langle y_1, y_2, y_3 \rangle \cong K_3$. Clearly, the minimum degree of G is $m - 1 = \frac{n-3}{3}$.

Recently the question of determining the number of cycles possible in a 2-factor of a given 2-connected graph satisfying certain degree conditions has been considered in [2].

The purpose of this paper is to investigate this question for 2-connected claw-free graphs. In particular, we will extend Theorem 1 by showing that the same minimum degree condition implies that G contains a 2-factor with exactly k -cycles for $1 \leq k \leq \frac{n-2\delta}{3}$.

We will let $\langle S \rangle_G$ denote the subgraph of G induced by S a subset of $V(G)$. For $A, B \subset V(G)$, $e_G(A, B)$ denotes the number of edges in G with one vertex in A and the other in B . For $H \subset G$ we will sometimes write $e_G(A, H)$ as shorthand for $e_G(A, V(H))$. The independence number of a graph will be denoted by $\alpha(G)$. For a cycle C , we will denote by \vec{C} the cycle under some orientation and \overleftarrow{C} will denote the cycle under the opposite orientation. For a vertex, a , on a cycle with some orientation, \vec{C} , we define a^+ and a^- to be the immediate successor and predecessor respectively of a on C with respect to this orientation. Also, for a collection of vertex disjoint cycles S each with some orientation, we define $N_S^+(a)$ to be the set $\{a^+ | a \in (N(a) \cap V(S))\}$. Let $I = \overleftarrow{a_1, a_2, \dots, a_k}$ where the a_i 's are consecutive vertices on a cycle. Then $l(I) = k$, the length of the segment of the cycle. For terms not defined here, see [3].

2 Main Result

In this section we will prove the theorem. However, first we prove the following proposition which gives sufficient conditions for the existence of k disjoint triangles and will lay the foundation for the proof of the theorem.

Proposition 1 *Let G be a claw-free graph of order n , let k be an integer, and let $c \geq 0$. If $n > 3k + 6 - f(k, c)$ where $f(1, 1) = f(2, 0) = 0$ and $f(k, c) = \frac{9c-9}{k+c-2}$ for all other values of k and c and $\delta(G) \geq \max\{k + c, 3\}$ then G contains k disjoint triangles.*

Proof: If $\delta(G) \geq 3$, then $n \geq 4$ and, since G is claw-free, G must contain at least one triangle. Choose m disjoint triangles in G , say T_1, T_2, \dots, T_m , so that m is as large as possible. Since G is claw-free and $\delta(G) \geq 3$, we know $m \geq 1$. Assume $m < k$. Let

$$A = \bigcup_{i=1}^m V(T_i)$$

and $H = G - A$.

If $\Delta(H) \geq 3$, say $\deg_H a \geq 3$ for some $a \in V(H)$, then since G is claw-free, $b_1 b_2 \in E(H)$ for some $b_1, b_2 \in N_H(a)$ and $\{a, b_1, b_2\}$ forms a triangle. This contradicts the maximality of m . Therefore, $\Delta(H) \leq 2$.

Claim: For each $x \in A$, $|N_G(x) \cap V(H)| \leq 3$.

Proof: Assume $|N_G(x) \cap V(H)| \geq 4$ for some $x \in A$. Let $x \in V(T_i)$ and $V(T_i) = \{x, y, z\}$. Let a_1, a_2, a_3, a_4 be distinct neighbors of x in H .

If $N_G(a_1) \cap \{a_2, a_3, a_4\} = \emptyset$, then since x and $\{a_1, a_2, a_3\}$ do not form a claw, without loss of generality, $a_2a_3 \in E(G)$. We apply the same argument to x and $\{a_1, a_2, a_4\}$ and $\{a_1, a_3, a_4\}$, and we have $a_2a_4 \in E(G)$ and $a_3a_4 \in E(G)$. But then $\{a_2, a_3, a_4\}$ forms a triangle, which contradicts the maximality of m . Therefore, $N_G(a_1) \cap \{a_2, a_3, a_4\} \neq \emptyset$. Similarly, we have $\deg_{\langle a_1, a_2, a_3, a_4 \rangle_G} a_i \geq 1$ for each i , $1 \leq i \leq 4$. Since $\Delta(H) \leq 2$, we know $\langle a_1, a_2, a_3, a_4 \rangle_H = \langle a_1, a_2, a_3, a_4 \rangle_G$ must contain two independent edges. Thus, without loss of generality, we may assume $a_1a_2, a_3a_4 \in E(G)$.

Consider the subgraph induced by $F = \langle \{a_1, a_2, a_3, a_4, y, z\} \rangle_G$. We want to show that F must contain $K_3 \cup K_2$ as a subgraph because the existence of such a subgraph in F implies that $\langle F \cup \{x\} \rangle$ contains two independent triangles which contradicts the maximality of A .

In order to show that F must contain $K_3 \cup K_2$, we first observe that to avoid a claw centered at x , F cannot contain 3 independent vertices. Let $S_1 = \langle \{a_1, a_2\} \rangle$, $S_2 = \langle \{a_3, a_4\} \rangle$, and $S_3 = \langle \{y, z\} \rangle$. Then there are 8 independent 3-sets of vertices in $S_1 \cup S_2 \cup S_3$. Note that the addition of any edge to $S_1 \cup S_2 \cup S_3$ can destroy at most two of the 8 independent triples of vertices. Thus, F must have at least 4 more edges than $S_1 \cup S_2 \cup S_3$. Without loss of generality, we can assume there are two edges between S_1 and S_2 . If these two edges share an endvertex, then F contains $K_3 \cup K_2$. Thus, we may assume they are independent. By symmetry, we may further assume that they are a_1a_3 and a_2a_4 . Moreover, again to avoid $K_3 \cup K_2$ in F , we may assume $\{a_1a_4, a_2a_3\} \cap E(G) = \emptyset$. Then by considering the triple $\{a_1, a_4, y\}$ we can, without loss of generality, assume F contains the edge a_1y . But, by the same argument, the triple $\{a_2, a_3, y\}$ forces the edge a_2y or a_3y , and therefore F contains a triangle and an independent edge. This contradicts the maximality of A and the claim follows. \square

Since $\Delta(H) \leq 2$ and $\delta(G) \geq k + c$, we have $e_G(x, A) \geq k + c - 2$, for each $x \in V(H)$. Thus, $e_G(H, A) \geq (k + c - 2)(n - 3m)$. On the other hand, $e_G(u, H) \leq 3$ for each $u \in A$ which implies $e_G(A, H) \leq 3|A| = 9m$. Therefore, $(k + c - 2)(n - 3m) \leq 9m$. Thus, $(k + c - 2)n \leq (3k + 3c + 3)m$. Then, using the fact that we assumed $m \leq k - 1$, we find $n \leq \frac{3k^2 + 3ck - (3c + 3)}{k + c - 2} = 3k + 6 - \frac{9c - 9}{k + c - 2}$. This contradicts the assumption and completes the proof. \square

Theorem 2 Let G be a 2-connected, claw-free graph of order $n \geq 51$ with $\delta(G) \geq \frac{1}{3}(n-2)$. Then for each k with $1 \leq k \leq \frac{n-24}{3}$, G has a 2-factor with exactly k components.

Proof: By the assumption $n \geq 3k+24$ and $\delta(G) \geq \frac{n-2}{3} \geq \frac{3k+22}{3} \geq k+1$. Therefore, by Proposition 1, G has k disjoint cycles C_1, C_2, \dots, C_k . Choose C_1, \dots, C_k such that $\sum_{i=1}^k |V(C_i)|$ is as large as possible. Let $D = \bigcup_{i=1}^k V(C_i)$ and assume $D \neq V(G)$. Let $H = G - D$ and let $x \in V(H)$.

Claim 1: $|V(H)| \geq 4$.

Proof: Let $h = |V(H)|$ and assume $h \leq 3$.

Since $h \leq 3$, $|D| \geq n-3 \geq 3k+21$. Thus, there exists some cycle, say C_i , such that $|V(C_i)| \geq 4$. Let $x \in V(H)$ and let $|N_G(x) \cap V(C_i)| = t$, say $N_G(x) \cap C_i = \{a_1, \dots, a_t\}$. We may assume a_1, \dots, a_t appear in consecutive order along some orientation of C_i . Let $I_j = a_j \overrightarrow{C_i} a_{j+1}$ for $1 \leq j \leq t-1$ and let $I_t = a_t \overrightarrow{C_i} a_1$. If $l(I_j) = 1$ for some $1 \leq j \leq t$, then $a_{j+1} = a_j^+$. Let $C'_i = a_{j+1} \overrightarrow{C_i} a_j x a_{j+1}$ and $C'_j = C_j$ for all $j \neq i$. Then $\{C'_1, \dots, C'_k\}$ is a disjoint collection of cycles of larger total order, a contradiction. Therefore, $l(I_j) \geq 2$ for each j , $1 \leq j \leq t$.

Since G is claw-free, this implies $a_j^- a_j^+ \in E(G)$ for each j , $1 \leq j \leq t$. If $l(I_j) = 2$, then $a_j^{++} = a_{j+1}$. Let $C'_i = x a_{j+1} \overrightarrow{C_i} a_j^- a_j^+ a_j x$ and $C'_j = C_j$ for all $j \neq i$. If $l(I_j) = 3$, then $a_j^{+++} = a_{j+1}$. Let $C'_i = x a_{j+1} a_{j+1}^- a_{j+1}^+ \overrightarrow{C_i} a_j^- a_j^+ a_j x$ and $C'_j = C_j$ for all $j \neq i$. In either case, the collection $\{C'_1, \dots, C'_k\}$ forms a set of independent cycles of larger order, a contradiction.

Therefore, $l(I_j) \geq 4$ for each j , $1 \leq j \leq t$. This implies $|V(C_i)| = \sum_{j=1}^t l(I_j) \geq 4t$ or $|N_G(x) \cap V(C_i)| \leq \frac{1}{4}|V(C_i)|$ for all C_i such that $|V(C_i)| \geq 4$. Note that x has at most one adjacency to every 3-cycle in the collection C_1, \dots, C_k .

We may assume $|V(C_1)| = |V(C_2)| = \dots = |V(C_s)| = 3$ and $|V(C_i)| \geq 4$ for $s+1 \leq i \leq k$. Then,

$$\begin{aligned} \frac{n-2}{3} &\leq e(x, D) + \deg_H x \\ &\leq (h-1) + s + \frac{1}{4} \sum_{i=s+1}^k |V(C_i)| = (h-1) + \frac{|D|+s}{4} \\ &= \frac{n-h+s}{4}, \end{aligned}$$

which implies $n < 3s + 9h - 4$. Since $s < k$ and $h < 3$, we have $n < 3k + 23$. This contradicts the assumption. Consequently, we know $|V(H)| > 4$. \square

Claim 2: For each $y \in V(H) - \{x\}$, $\deg_{H-x} y \geq 2$.

Proof: Assume $\deg_{H-x} y \leq 1$ for some $y \in V(H) - \{x\}$. As in Claim 1, we count the number of edges from y to D observing that y can have at most one adjacency to a 3-cycle and y is adjacent to at most one out of every four vertices on cycles of length 4 or more.

We may assume $|V(C_1)| = |V(C_2)| = \dots = |V(C_s)| = 3$ and $|V(C_i)| \geq 4$ for $s+1 \leq i \leq k$. Then $e(y, D) \leq s + \frac{1}{4} \sum_{i=s+1}^k |V(C_i)| = s + \frac{1}{4}(|D| - 3s) = \frac{1}{4}|D| + \frac{1}{4}s$. Therefore,

$$\begin{aligned} \frac{n-2}{3} &\leq \deg_H y + \deg_D y \leq \deg_H y + e(y, D) \\ &\leq 1 + \deg_{H-x} y + \frac{1}{4}|D| + \frac{1}{4}s \\ &\leq 1 + \deg_{H-x} y + \frac{1}{4}(n-4) + \frac{1}{4}k \\ &\leq 1 + \deg_{H-x} y + \frac{1}{4}(n-4) + \frac{n-24}{12}. \end{aligned}$$

Thus, $\deg_{H-x} y \geq 2$. □

By Claims 1 and 2, we know that for every $x \in V(H)$, $H - x$ contains a cycle, call it C_x .

Claim 3: For every $x \in H$, the set $N_D^+(x)$ is independent.

Proof: Assume, to the contrary, $a_1^+ a_2^+ \in E(G)$ for some $a_1, a_2 \in N_D(x)$. If a_1 and a_2 lie in the same cycle of D , say C_i . Then we increase the total order of D by replacing C_i by $C'_i = a_1^+ \overrightarrow{C_i} a_2 x a_1 \overleftarrow{C_i} a_2^+ a_1^+$. If a_1 and a_2 lie in different cycles of D , we may assume without loss of generality $a_i \in V(C_i)$, $i = 1, 2$. Then let $C'_1 = C_x$, $C'_2 = x a_1 \overleftarrow{C_1} a_1^+ a_2^+ \overrightarrow{C_2} a_2 x$ and for $j \neq 1, 2$ let $C'_j = C_j$. Then the collection $\{C'_1, \dots, C'_k\}$ forms a set of k disjoint cycles of larger total order, a contradiction. □

Claim 4: Since G is a claw-free graph of order n , then $\alpha(G) \leq \frac{2n}{\delta(G)+2}$.

Proof: Let S be a largest independent set in G . For each $x \in V(G) - S$, we have $e_G(x, S) \leq 2$ since G is claw-free. Therefore, $e_G(S, V(G) - S) \leq 2|V(G) - S| = 2(n - \alpha(G))$. On the other hand, since S is independent, we know $e_G(S, V(G) - S) = \sum_{x \in S} \deg_G x \geq \delta(G)|S| = \delta(G)\alpha(G)$.

Therefore, we have $2(n - \alpha(G)) \geq \delta(G)\alpha(G)$. Solving this inequality for the independence number and we get $\alpha(G) \leq \frac{2n}{\delta(G)+2}$. □

By Claims 3 and 4, for each $x \in V(H)$ we have that

$$|N_D[x]| = |N_D^+(x) \cup \{x\}| \leq \alpha(G) \leq \frac{2n}{\delta(G) + 2} \leq \frac{2n}{\frac{n-2}{3} + 2} < 6.$$

Therefore, $|N_D(x)| \leq 4$ and we have $\deg_H x \geq \frac{n-14}{3}$.

Let P be a longest path in H and let x be one of its end vertices. Then $N_H(x) \subseteq V(P)$ or a longer path is possible. Therefore, if we choose $y \in N_H(x)$ so that $x\vec{P}y$ is as long as possible, we form a cycle $C = x\vec{P}yx$ with $N_H(x) \subseteq V(C)$. This implies $|V(C)| \geq \deg_H x + 1 \geq \frac{n-14}{3} + 1 = \frac{n-11}{3}$. Then by the maximality of D , we know $|V(C_i)| \geq \frac{n-11}{3}$, for all $1 \leq i \leq k$.

Claim 5: The number of independent cycles, k , is 2.

Proof: Assume $k \geq 3$. Then $n = |V(G)| \geq |V(C)| + |V(C_1)| + |V(C_2)| + |V(C_3)| \geq 4(\frac{n-11}{3})$. This forces $n \leq 44$, a contradiction. \square

Since C_1 and C_2 each have at least $\frac{n-11}{3}$ vertices, we know $|V(H)| \leq n - |V(C_1)| - |V(C_2)| \leq \frac{n+22}{3}$.

Claim 6: The subgraph H is hamiltonian connected.

Proof: If H is not hamiltonian-connected by [6],

$$\frac{n-14}{3} \leq \delta(H) \leq \frac{1}{2}|V(H)| \leq \frac{n+22}{6}.$$

This forces $n \leq 50$, a contradiction. \square

In particular, H has a hamiltonian cycle, say C_0 . By the maximality of D , we know $|V(C_0)| \leq |V(C_i)|$ for $i = 1, 2$. Thus, $|V(C_0)| \leq \frac{1}{3}n$.

Since G is 2-connected, there exist at least two independent edges between C_0 and $C_1 \cup C_2$.

Claim 7: There do not exist two independent edges from C_0 to C_i , for $i = 1, 2$.

Proof: Without loss of generality, let $i = 1$. Assume there are two independent edges, say a_1b_1 and a_2b_2 between C_0 and C_1 (where $a_1, a_2 \in C_0, b_1, b_2 \in C_1$). Without loss of generality, we may assume $l(b_1\overrightarrow{C_1}b_2) \geq \frac{1}{2}|V(C_1)|$. Since $\{a_2\overrightarrow{P}a_1b_1\overrightarrow{C_1}b_2a_2, C_2\}$ forms a set of disjoint cycles where P is a hamiltonian a_1, a_2 -path in H , we know $l(b_2\overrightarrow{C_1}b_1) \geq |V(C_0)| + 1 \geq \delta(H) + 2 \geq \frac{n-8}{3}$. Then $|V(C_1)| \geq 2l(b_2\overrightarrow{C_1}b_1) \geq \frac{2n-16}{3}$. Therefore,

$$n = |V(C_0)| + |V(C_1)| + |V(C_2)| \geq 2 \left(\frac{n-11}{3} \right) + \frac{2n-16}{3} = \frac{4n-38}{3}.$$

This forces $n \leq 38$ which is a contradiction. \square

Therefore we may assume $a_1b_1, a_2b_2 \in E(G)$ where $a_1, a_2 \in V(C_0), a_1 \neq a_2, b_1 \in V(C_1)$, and $b_2 \in V(C_2)$. As a consequence of Claim 7 and 2-connectivity, we know there exists an edge $d_1d_2 \in E(G)$ such that $d_1 \in V(C_1) - b_1$ and $d_2 \in V(C_2)$.

Let $x \in H - \{a_1, a_2\}$. (Since $|V(H)| = |V(C_0)| \geq \frac{n-11}{3}$ we know such an x exists.) Then by Claim 6, $N_{C_1 \cup C_2}(x) \subset \{b_1, b_2\}$. Therefore, $\deg_H x \geq \frac{n-2}{3} - 2 = \frac{n-8}{3}$, and hence $|V(C_0)| \geq \frac{n-5}{3}$.

Claim 8: The graph $H - \{a_1, a_2\}$ has a triangle T and $H - V(T)$ is hamiltonian-connected.

Proof: Let $H' = H - \{a_1, a_2\}$ and assume $\delta(H') \leq \frac{|V(H')|}{2}$. Since $\delta(H') \geq \delta(H) - 2 \geq \frac{n-8}{3} - 2 \geq \frac{n-14}{3}$ and $|V(H')| \leq \frac{n}{3} - 2 = \frac{n-6}{3}$, we get $\frac{n-14}{3} \leq \frac{1}{2} \left(\frac{n-6}{3} \right)$. This forces $n \leq 18$, a contradiction.

Thus $\delta(H') \geq \frac{|V(H')|+1}{2}$ and $|V(H')| \geq \frac{n-5}{3} - 2 \geq 3$, which implies by [1] that H' is pancyclic.

\curvearrowright Thus H' has a triangle T . Let $H'' = H - V(T)$. Then $|V(H'')| = |V(H)| - 3 \geq \frac{n}{3} - 3 = \frac{n-9}{3}$ and $\delta(H'') \geq \frac{n-14}{3} - 3 \geq \frac{n-23}{3}$. Therefore, since $n \geq 40$, $\delta(H'') > \frac{1}{2}|V(H'')|$. Hence, by [6] H'' is hamiltonian connected. \square

First, suppose $d_2 \neq b_2$. We may assume $l(d_1\overrightarrow{C_1}b_1) \leq \frac{1}{2}(|V(C_1)|)$ and $l(b_2\overrightarrow{C_2}d_2) \leq \frac{1}{2}(|V(C_2)|)$. By the maximality of C_1 and C_2 and the fact that G is claw-free, $b_1^+b_1^-, b_2^+b_2^- \in E(G)$. Let $C' = a_1b_1b_1^-b_1^+\overrightarrow{C_1}d_1d_2\overrightarrow{C_2}b_2^-b_2^+b_2a_2Pa_1$, where P is a hamiltonian a_1a_2 -path in $H - T$. Since C' and T are disjoint cycles, $l(d_1^+\overrightarrow{C_1}b_1^-) + l(b_2^+\overrightarrow{C_2}d_2^-) + 2 \geq |V(H)|$. Thus $\frac{|V(C_1)|+|V(C_2)|}{2} - 4 \geq |V(H)| \geq \frac{n-5}{3}$, which implies that $|V(C_1)| + |V(C_2)| \geq \frac{2n+14}{3}$. Since $|V(H)| = |V(C_0)| \geq \frac{n-5}{3}$, we have $n = |V(H)| + |V(C_1)| + |V(C_2)| \geq \frac{3n+9}{3} = n + 3$, a contradiction. Therefore, we know $d_2 = b_2$

which implies that there cannot be three independent edges between the cycles $C, C_1,$ and C_2 .

Since G is 2-connected, there exists an edge b'_2u from $C_2 - \{b_2\}$ to $C_0 \cup C_1$

Case 1: We consider the case where $u \in C_0$. If $u \neq a_1$ the three edges $a_1b_1, a_1b_2,$ and b'_2u are independent, a contradiction. Thus, $u = a_1$. But now the two edges a_2b_2 and $a_1b'_2$ between C_0 and C_2 are independent. This contradicts Claim 7.

Case 2: We consider the case where $u \in C_1$. If $u \neq b_1$, then the three edges $a_1b_1, ub'_2,$ and a_2b_2 are independent, a contradiction. If $u = b_1$, consider b_1 and $\{a_1, b_1^+, b'_2\}$. We know $b'_2b_1^+ \notin E(G)$ because $u = b_1$. By Claim 7, $a_1b'_2 \notin E(G)$. If $a_1b_1^+ \in E(G)$, then the three edges $a_1b_1^+, b_1b'_2$ and a_2b_2 are independent, a contradiction. Thus, $\langle b_1, b_1^+, a_1, b'_2 \rangle_G$ is a claw, a contradiction.

Hence, in all cases we reach a contradiction, and the result is proved. \square

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