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Chromatic numbers and products

by

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Abstract

Let $\Lambda(n)$ be the smallest number so that there are two n chromatic graphs whose product has chromatic number $\Lambda(n)$. Under the assumption that a certain sharper result than one obtained by Duffus, Sands and Woodrow [1] holds we will prove that $\Lambda(n) \geq n/2$.

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1 Introduction

We denote by \mathbf{G} the class of all finite symmetric graphs, with loops allowed. If $G \in \mathbf{G}$ then $V(G)$ is the set of *vertices* of G and $E(G)$, a subset of the set of one-element and two-element subsets of $V(G)$, is the set of *edges* of G . We denote by K_n the complete graph with $V(K_n) = \underline{n} = \{0, 1, 2, \dots, n-1\}$ and $E(K_n)$, the set of all two-element subsets of \underline{n} . We denote by $\overset{\circ}{1}$ the graph with $V(\overset{\circ}{1}) = \{1\}$ and $E(\overset{\circ}{1}) = \{\{1\}\}$, that is, the graph with one vertex and with a loop on that vertex.

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The product, $G \times H$, of two graphs G and H is the graph with $V(G \times H) = V(G) \times V(H)$ and edges all $\{(g_0, h_0), (g_1, h_1)\}$ such that $\{g_0, g_1\} \in E(G)$ and $\{h_0, h_1\} \in E(H)$. It is easily seen that, with χ denoting the usual vertex chromatic number of graphs, $\chi(G \times H) \leq \min\{\chi(G), \chi(H)\}$ ([7] equation (2)). Hedetniemi's conjecture, stated in [4], is that the chromatic number of the product of two n -chromatic graphs is n . This holds true for $n = 1$ trivially and is not too difficult to prove for $n = 2$ and $n = 3$, (see [4] or [7] Theorem 1). It follows from [3] that the product of two 4-chromatic graphs has 4-chromatic.

Let $\Lambda(n)$ be the smallest number so that there are two n -chromatic graphs whose product has chromatic number $\Lambda(n)$. It is not known if this function tends to infinity. Indeed, the following curious statement is the best result to date: $\Lambda(n)$ either tends to infinity with n or is bounded by 9. (See [5] and [8] for this strengthening of the original result of Poljak and Rödl [6].)

It is proven in [1] that if $\chi(G) \geq n+1$ and $\chi(H) \geq n+1$ for two connected graphs G and H which both contain a complete graph on n vertices then $\chi(G \times H) \geq n+1$. We will show that a stronger version of that result implies that $\Lambda(n)$ is unbounded. Let us say that the number n is *semi-stable* if $\chi(G) = n+1$ and $\chi(H) = n+1$ for two connected graphs G and H , at least one containing a complete graph on n vertices, then $\chi(G \times H) = n+1$. We will prove, Theorem 4.1, that if the number n has the weak Hedetniemi property then $\Lambda(n) \geq n/2$.

Using terminology introduced in [2], a graph G with chromatic number $n+1$ is *stable with respect to n* (or just *stable*) if $\chi(G \times H) = n+1$ for every graph H with $\chi(H) = n+1$. In the terminology just introduced, a number n is semi-stable if and only if every graph G with $\chi(G) = n+1$ which contains a complete subgraph on n vertices is stable.

2 Notation

If G and H are two graphs with $V(G) \cap V(H) = \emptyset$ then and only then we define $G + H$ to be the graph with $V(G + H) = V(G) \cup V(H)$ and $E(G + H) = E(G) \cup E(H)$.

Let $G, H \in \mathbf{G}$ be two graphs and $f : V(G) \mapsto V(H)$ a function of $V(G)$ to $V(H)$. The function f is a *homomorphism* of G to H if $\{f(x), f(y)\}$ is an edge of H for every edge $\{x, y\}$ of G .

For two graphs $G, H \in \mathbf{G}$ we write $G \rightarrow H$ if there is a homomorphism of the graph G to the graph H . The graphs G and H are *equivalent*, $G \sim H$,

if $G \rightarrow H$ and $H \rightarrow G$. Observe that $G \rightarrow \overset{\circ}{1}$ for every graph G .

A *core* of a graph $G \in \mathbf{G}$ is a graph C with a minimum number of vertices under the condition that $C \sim G$.

Note that every finite graph G has a core, that any two cores of G are isomorphic, and that every homomorphism of a core of a graph into itself is an automorphism. If $G \sim H$ then every core of G is isomorphic to every core of H . Hence, we can speak of a core of a \sim -equivalence class. If $G = H + M$ and no connected component of H has a homomorphism to M and no connected component of M has a homomorphism to H then every core of G is of the form $H' + M'$ where H' is a core of H and M' is a core of M .

We will select one core, $\text{core}(G)$, for every graph $G \in \mathbf{G}$ subject to the following conditions:

- $\text{core}(G)$ is isomorphic to a core of G .
- If $G \sim H$ then $\text{core}(G) = \text{core}(H)$.
- If G contains a loop then $\text{core}(G) = \overset{\circ}{1}$.
- If $\chi(G) = n$ and G contains a complete subgraph on n vertices then $\text{core}(G) = K_n$.
- If $G = L + H$, $\text{core}(L) = K_n$, $\chi(H') > n$ for every connected component H' of H , and $K_n \not\rightarrow H$ then $\text{core}(G) = K_n + \text{core}(H)$. (That is, we select $\text{core}(H)$ so that $V(\text{core}(H)) \cap \underline{n} = \emptyset$.)

Given a graph G be a graph and a positive integer n , following [3], let $\mathcal{C}_n(G)$ be the graph whose vertex set is the set of all functions of $V(G)$ into \underline{n} , with two such functions f and g adjacent if for all adjacent vertices a and b of G , $f(a) \neq g(b)$. The vertex f of $\mathcal{C}_n(G)$ is a good (vertex) coloring of G , in the usual sense, if $f(a) \neq f(b)$ for all adjacent vertices a and b of G . Note that f is a good coloring of G if and only if f is a loop of $\mathcal{C}_n(G)$. Also, the chromatic number $\chi(G)$ is the smallest n so that $V(\mathcal{C}_n(G))$ contains a good coloring of G . Observe that the chromatic number of G is n if and only if $G \rightarrow K_n$ and $G \not\rightarrow K_{n-1}$.

The graph $\mathcal{C}_n(G)$ is the “exponential graph” K_n^G studied in [2] and [7]. Here, we use exponentiation only for the base K_n , so we write $\mathcal{C}_n(G)$ instead. The collection of all these *n-colouring graphs*, for fixed n has an interesting structure. Let

$$\mathfrak{B}_n := \{\text{core}(\mathcal{C}_n(G)) \mid G \in \mathbf{G}\}.$$

It follows from Theorem 8 in [7] that \mathfrak{B}_n is a Boolean lattice. While we will not need this result, we will make use of several of the properties involving this exponentiation operation, other operations and homomorphisms, obtained in [2], [3], and [7]. In particular, we will use the following construction: for $C \in \mathfrak{B}_n$, let $\overline{C} := \text{core}(\mathcal{C}_n(C))$. For convenience, the results on \mathfrak{B}_n are itemized below.

For two graphs G and H the following hold.

1. If G is connected and has chromatic number larger than n then the graph $\mathcal{C}_n(G)$ contains exactly one complete subgraph on n vertices. ([7], Lemma 4)
2. $\chi(G) \leq n$ if and only if $G \rightarrow K_n$.
3. $\mathcal{C}_n(G + H) = \mathcal{C}_n(G) \times \mathcal{C}_n(H)$. ([7], Theorem 8 (8))
4. $G \rightarrow \mathcal{C}_n(\mathcal{C}_n(G))$. ([7], Theorem 8 (11))
5. $\text{core}(\mathcal{C}_n(G)) = \overset{\circ}{1}$ if and only if $G \rightarrow K_n$. ([7], Theorem 8 (5))
6. $G \times H \rightarrow K_n$ if and only if $H \rightarrow \mathcal{C}_n(G)$. ([7], Theorem 8 (6))
7. If $C \in \mathfrak{B}_n$ then $\overline{\overline{C}} = C$. ([7], Theorem 8 (7))
8. $\mathcal{C}_n(K_n) \sim \overset{\circ}{1}$ and $\overset{\circ}{1} \times G \sim G$. ([7], Theorem 8 (5))

3 The role of K_n in the Boolean lattice \mathfrak{B}_n

A graph G is *n-full* if it contains a complete subgraph on n vertices and any two complete subgraphs of G on n vertices are in the same connected component of G . Note that if $G \not\sim \overset{\circ}{1}$ is *n-full* then the core of G is also *n-full*.

Lemma 3.1. *Let G be a graph in which every connected component has chromatic number greater than n , where $n \geq 3$. Then $\mathcal{C}_n(G)$ is *n-full*.*

Proof. The set of constant functions in $\mathcal{C}_n(G)$ induces a complete subgraph of $\mathcal{C}_n(G)$.

Let $\{H_0, H_1, \dots, H_{r-1}\}$ be the set of connected components of G . Then $G = H_0 + H_1 + \dots + H_{r-1}$ which, according to §2.3 (item (3) in §2), implies that $\mathcal{C}_n(G) = \mathcal{C}_n(H_0) \times \mathcal{C}_n(H_1) \times \dots \times \mathcal{C}_n(H_{r-1})$. For all $i \in \mathbb{Z}$, the graph $\mathcal{C}_n(H_i)$ contains exactly one induced subgraph isomorphic to K_n , say L_i , according to §2.1.

Every complete subgraph on n vertices of $\mathcal{C}_n(G)$ has to be a subgraph of $L := L_0 \times L_1 \times \cdots \times L_{r-1}$. The result follows because L is connected – since $n \geq 3$, it actually has diameter two. \square

Theorem 3.1. *Let $C \in \mathfrak{B}_n$ with $n \geq 3$ and $\overset{\circ}{1} \neq C \neq K_n$. Then C is n -full.*

Proof. For any graph G , the set of constant functions in $\mathcal{C}_n(G)$ induces a complete n -vertex subgraph of $\mathcal{C}_n(G)$. Note that $\overset{\circ}{1} \neq \overline{C} \neq K_n$ according to item §2.7. So, the cores C and \overline{C} each have all connected components of chromatic number at least n , at most one with chromatic number equal to n and, if so, that component must contain K_n . We distinguish two cases.

Case 1: There is a connected component N of \overline{C} which contains a complete subgraph of n vertices and has chromatic number equal to n . Then, because \overline{C} is a core, the connected component N is equal to K_n and every other connected component of \overline{C} has chromatic number larger than n . Hence $\overline{C} = K_n + H$ where every connected component of H has chromatic number larger than n . We obtain, according to §2.7, §2.3 and §2.5, that:

$$C \sim \mathcal{C}_n(\overline{C}) = \mathcal{C}_n(K_n + H) \sim \mathcal{C}_n(K_n) \times \mathcal{C}_n(H) \sim \overset{\circ}{1} \times \mathcal{C}_n(H) \sim \mathcal{C}_n(H).$$

Lemma 3.1 yields that C is n -full.

Case 2: Every connected component of \overline{C} has chromatic number larger than n . In this case, Lemma 3.1 immediately shows that C is n -full and the theorem follows. \square

The elements of $\mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$ of the form $K_n + H$ are called the *non-joined* elements of \mathfrak{B}_n and all the other elements of $\mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$ are the *joined* elements of \mathfrak{B}_n .

Lemma 3.2. *The number n has is semi-stable if and only if no element in $\mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$ is joined.*

Proof. Let n be semi-stable and assume for a contradiction that the element $C \in \mathfrak{B}_n$ with $\overset{\circ}{1} \neq C \neq K_n$ is joined. Then C has a connected component N containing a complete subgraph of n vertices and with $\chi(N) > n$. Since $\overline{C} \neq K_n$, $\chi(\overline{C}) > n$ and C has a connected component H with $\chi(H) > n$. Then $\chi(N \times H) \geq n + 1$ because n is semistable. But there is always a good n -coloring of $C \times \overline{C}$, according to §2.6, so

$$n \geq \chi(C \times \overline{C}) \geq \chi(N \times H) \geq n + 1,$$

a contradiction.

Suppose that no element in $\mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$ is joined. Let G be a connected graph with $\chi(G) = n + 1$ and which contains a complete subgraph on n vertices. Then $G \rightarrow \text{core}(\mathcal{C}_n(\mathcal{C}_n(G))) := C \in \mathfrak{B}_n$ according to §2.4. Hence $C = \overset{\circ}{1}$ because otherwise C would be joined. It follows from §2.5 that $\chi(\mathcal{C}_n(G)) = n$.

In order to show that G is stable, let H be a graph with $\chi(H) = n + 1$. If $\chi(G \times H) \rightarrow n$ then $H \rightarrow \mathcal{C}_n(G)$, according to §2.6, which contradicts $\chi(\mathcal{C}_n(G)) = n$. □

Corollary 3.1. *The number n is semi-stable if and only if every element $C \in \mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$ is of the form $C = K_n + H$ where $\chi(H) \geq n + 1$ and H does not contain a complete subgraph on n vertices.*

Corollary 3.2. *The number n is semi-stable if and only if $\chi(\mathcal{C}_n(G)) = n$ for every connected graph G of chromatic number at least $n + 1$ which contains a complete subgraph on n vertices.*

Proof. Let n be semi-stable. It follows from §2.4 that $G \rightarrow \text{core}(\mathcal{C}_n(\mathcal{C}_n(G))) := C$. This implies that $C \neq K_n$ and that C is joined unless $C = \overset{\circ}{1}$. Hence it follows from Lemma 3.2 that $C = \overset{\circ}{1}$. According to §2.5 $C = \overset{\circ}{1}$ implies that $\chi(\mathcal{C}_n(G)) = n$.

To prove the converse, let G be a connected graph of chromatic number at least $n + 1$ and assume G contains a complete subgraph on n vertices. So, $\chi(\mathcal{C}_n(G)) = n$. Let H be a graph with $\chi(H) = n + 1$. If $\chi(G \times H) \rightarrow n$ then $H \rightarrow \mathcal{C}_n(G)$, according to §2.6, in contradiction to $\chi(\mathcal{C}_n(G)) = n$. □

4 The main results

Lemma 4.1. *Let n be semi-stable and let $C = K_n + H \in \mathfrak{B}_n \setminus \{\overset{\circ}{1}, K_n\}$. Then $\chi(\overline{C}) \leq 2n$. If H contains a triangle then $\chi(\overline{C}) \leq n + 1$.*

Proof. If H does not contain a triangle assume, for a contradiction, that $\chi(\overline{C}) \geq 2n + 1$. Then $\chi(\mathcal{C}_n(C)) \geq 2n + 1$ because \overline{C} is the core of $\mathcal{C}_n(C)$. If H contains a triangle assume for a contradiction that $\chi(\overline{C}) \geq n + 2$ in which case $\chi(\mathcal{C}_n(C)) \geq n + 2$.

Let $\{\pi_i \mid i \in \underline{n!}\}$ be the set of good colorings of K_n and for every $i \in \underline{n!}$ let M_i be the induced subgraph of $\mathcal{C}_n(C)$ where $V(M_i)$ is the set of functions

$f \in V(\mathcal{C}_n(C))$ whose restriction to $V(K_n)$ is π_i . We may assume without loss of generality that $\pi_0(0) = 0$. Let N be the induced subgraph of $\mathcal{C}_n(C)$ where $V(N)$ is the set of functions in $\mathcal{C}_n(C)$ whose restriction to $V(K_n)$ is not a good coloring of K_n .

Note that if $f \in V(M_i)$ and $g \in V(\mathcal{C}_n(C)) \setminus V(M_i)$ then there are vertices a and b in $V(K_n)$ so that $a \neq b$ and $f(a) = g(b)$. Hence f and g are not adjacent in $\mathcal{C}_n(C)$ and therefore:

$$\mathcal{C}_n(C) = N + \sum_{i \in \underline{n}!} M_i.$$

We claim that $\chi(N) \leq n$. For every $f \in V(N)$ there are distinct vertices x_f and y_f in $V(K)$ with $f(x_f) = f(y_f)$. Let $\alpha(f) = f(x_f)$. Then α is a good n -coloring of N . Any two graphs M_i and M_j for $i, j \in \underline{n}!$ are isomorphic under the isomorphism which maps $f \in M_i$ to $\pi_j \circ \pi_i^{-1} \circ f \in M_j$. It follows that $\chi(M_0) \geq 2n + 1$ if H does not contain a triangle and $\chi(M_0) \geq n + 2$ if H does contain a triangle.

For every $f \in V(M_0)$ let f' be the restriction of f to $V(H)$. Note that the functions $f, g \in V(M_0)$ are adjacent if and only if the functions $f', g' \in V(\mathcal{C}_n(H))$ are adjacent and that $V(\mathcal{C}_n(H)) = \{f' \mid f \in V(M_0)\}$. It follows that $\chi(\mathcal{C}_n(H)) \geq 2n + 1$ if H does not contain a triangle and $\chi(\mathcal{C}_n(H)) \geq n + 2$ if H does contain a triangle.

Select a vertex $b \in V(H)$ and let S be the set of those vertices of H adjacent to b . Note that since C is a core, S is not empty. Also, if H contains a triangle, select b as one of its vertices and let c and d be the others.

For every $i \in \underline{n}$ let B_i be the set of functions f in $V(M_0)$ for which $f(s) = i$ for all $s \in S$. Let $B'_i = \{f' \mid f \in B_i\}$, let B_i be the subgraph of M_0 induced by B_i and B'_i the subgraph of $\mathcal{C}_n(H)$ induced by B'_i . Let $A_i = V(M_0) \setminus B_i$, let $A'_i = V(\mathcal{C}_n(H)) \setminus B'_i$, let A_i be the subgraph of M_0 induced by A_i and A'_i the subgraph of $\mathcal{C}_n(H)$ induced by A'_i .

Then $\chi(A_i) = \chi(A'_i)$ and $\chi(B'_i) = \chi(B'_j)$ for all $i, j \in \underline{n}$. The second assertion follows because B'_i is isomorphic to B'_j under the transposition of \underline{n} which switches i with j . It follows from this and the fact that B'_1 is an induced subgraph of A'_0 that $B'_0 \rightarrow A'_0$. This implies that if $\chi(A'_0) \leq n$ then $\chi(\mathcal{C}_n(H)) \leq 2n$. Hence, we may assume that $\chi(A_0) = \chi(A'_0) \geq n + 1$ in the case that H does not contain a triangle.

If H contains a triangle then $f(c) = f(d) = 0$ for all $f \in B_0$. Hence no two functions $f, g \in B_0$ are adjacent. It follows that $\chi(M_0) \leq \chi(A_0) + 1$. Thus, also in the case that H does contain a triangle, $\chi(A_0) \geq n + 1$.

It follows from the definition of A_0 that for every $f \in A_0$ there is an element $s \in S$ so that $f(s) \neq 0$. Select such a vertex s and let $\beta(f) = s$. Note that $f(\beta(f)) \neq 0$ for all $f \in A_0$. Let a be a vertex with $a \notin V(C)$. Let D be the graph with $V(D) = V(C) \cup \{a\}$ and $E(D) = E(C) \cup \{\{0, a\}, \{a, b\}\}$.

We associate with every function $f \in A_0 = V(A_0)$ a function $f^* \in \mathcal{C}_n(D)$ so that

$$f^*(x) = \begin{cases} f(x), & \text{if } x \in V(C); \\ f(\beta(f)), & \text{if } x = a. \end{cases}$$

Let $A_0^* = \{f^* \mid f \in A_0\}$ and A_0^* the subgraph of $\mathcal{C}_n(D)$ induced by A_0^* . Let f and g be two adjacent functions in A_0 . Then f^* and g^* are adjacent as well. The only two edges to check are $\{a, b\}$ and $\{0, a\}$. If $f^*(a) = g^*(b)$ then $f(\beta(f)) = g(b)$ which can not be the case because f and g are adjacent and b and $\beta(f)$ are adjacent. If $f^*(0) = g^*(a)$ then $0 = g(\beta(g))$. But $g \in A_0$ and hence $g(\beta(g)) \neq 0$.

It follows that $n + 1 \leq \chi(A_0) \leq \chi(A_0^*) \leq \chi(\mathcal{C}_n(D))$. According to §2.5, $\mathcal{C}_n(\mathcal{C}_n(D)) \not\approx \overset{\circ}{1}$. Let $R = \text{core}(\mathcal{C}_n(\mathcal{C}_n(D)))$. There is a homomorphism of D into $\mathcal{C}_n(\mathcal{C}_n(D))$ according to §2.4 and hence there is a homomorphism of D into $R \in \mathfrak{B}_n$. But this homomorphism and the construction of D show that R is joined. Lemma 3.2 shows that this contradicts the assumption that n is semi-stable.

□

Corollary 4.1. *If n is semi-stable and $C \in \mathfrak{B}_n$ and $C \neq \overset{\circ}{1}$ then $\chi(C) \leq 2n$.*

Proof. If $C = K_n$ the result follows trivially. If $\overset{\circ}{1} \neq C \neq K_n$ then $\overset{\circ}{1} \neq \overline{C} \neq K_n$ according to §2.7. Hence $\overline{C} = K_n + H$ for some graph H because of the assumption that n has the weak Hedetniemi property. Because $C = \overline{\overline{C}}$ the corollary follows from Lemma 4.1. □

Theorem 4.1. *Let n be semi-stable and let G be a graph with $\chi(G) \geq n + 1$. Then $\chi(G \times H) \geq n + 1$ for every graph H with $\chi(H) \geq 2n + 1$.*

Proof. Assume for a contradiction that $\chi(G \times H) \leq n$. Then there is a homomorphism of H into $\mathcal{C}_n(G)$ according to §2.6 and hence a homomorphism of H into $\text{core}(\mathcal{C}_n(G)) \in \mathfrak{B}_n$ which implies that $\chi(\text{core}(\mathcal{C}_n(G))) \geq 2n + 1$. According to §2.5, it follows from $\chi(G) > n$ that $\text{core}(\mathcal{C}_n(G)) \neq \overset{\circ}{1}$ and hence we arrived at a contradiction to Corollary 4.1. □

Theorem 4.2. *Let n be semi-stable and let G be a connected graph which contains a triangle. If $\chi(G) \geq n + 1$ then $\chi(G \times H) \geq n + 1$ for every graph H with $\chi(H) \geq n + 2$.*

Proof. Assume for a contradiction that $\chi(G \times H) \leq n$. Then, according to §2.6, there is a homomorphism of H into $\mathcal{C}_n(G)$ and hence a homomorphism of H into $\text{core}(\mathcal{C}_n(G)) := C \in \mathfrak{B}_n$. Hence $\chi(C) \geq n + 2$.

There is a homomorphism of G into $\text{core}(\mathcal{C}_n(\mathcal{C}_n(G))) = \overline{C}$ according to §2.4. Hence \overline{C} contains a triangle in a connected component which has chromatic number larger than n . Also $\overline{C} \neq \overset{\circ}{1}$ because the chromatic number of C is larger than n and $\overline{C} \neq K_n$ because its chromatic number is larger than n . Hence $\overline{C} = K_n + L$ for some graph L because n is semi-stable. Also, since \overline{C} contains a triangle in a connected component of chromatic number larger than n , the graph L contains a triangle. Hence we can apply Lemma 4.1 to obtain that $\chi(\overline{C}) \leq n + 1$. But this is a contradiction because $\overline{C} = C$ according to §2.7. \square

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